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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ERIK K. BARDMAN et al.,

Defendants.

Case No. 3:16-cv-02023-JST

**STIPULATION AND ~~PROPOSED~~
ORDER FOR EXTENSION OF TIME
TO FILE JOINT CASE
MANAGEMENT STATEMENT**

WHEREAS, the Division of Enforcement of the Securities and Exchange Commission and Defendants Erik K. Bardman and Jennifer F. Wolf (“Defendants” and, together with the SEC, the “Parties”) have reached agreements in principle to settle this action as to all pending claims, which settlement is awaiting approval by the Commission;

WHEREAS, the Court has scheduled a case management conference for July 18, 2018 (ECF No. 88) and, in accordance with the Parties’ proposed stipulation, has ordered a joint case management statement to be filed tomorrow, June 29, 2018;

WHEREAS, the Parties have no substantial updates to their previous case management statement (ECF No. 83);

1 WHEREAS, the Parties understand that the Court typically requires an updated
2 case management statement approximately one week before the case management
3 conference, and, in the circumstances of this case, the need for filing would become moot
4 if the Commission approves the settlement before the conference;

5 WHEREAS, the Division of Enforcement staff expect to receive notice of
6 Commission action before the deadline of July 11, 2018 proposed below;

7 IT IS THEREFORE STIPULATED AND AGREED, by and between the attorneys
8 for Plaintiff and the attorneys for Defendants, with the Court's permission, as follows:

9 The Parties shall file an updated case management statement by July 11, 2018,
10 unless such filing is rendered moot by the filing of stipulated settlement papers.

11 Respectfully submitted,

12 Dated: June 28, 2018

SECURITIES AND EXCHANGE COMMISSION

13 By: /s/ Kevin C. Lombardi
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18 *Attorneys for Plaintiff Securities and Exchange*
19 *Commission*

20
21 Dated: June 28, 2018

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27 *Attorneys for Defendant Erik K. Bardman*

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Dated: June 28, 2018

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ATTESTATION (CIVIL LOCAL RULE 5-1(i)(3))

In accordance with Civil Local Rule 5-1(i)(3), I attest that concurrence in the filing of this document has been obtained from each signatory.


Dated: June 28, 2018

By: /s/ Kevin C. Lombardi
Kevin C. Lombardi

* * *

IT IS SO ORDERED.

Dated: June 28, 2018


Hon. Jon S. Tigar
United States District Judge